

# CAPCO

## DIANE BANKS

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### PRINCIPAL CONSULTANT



Diane leads Capco's Regulatory Advisory Services and Training Academy, bringing more than 30 years of financial regulatory compliance experience to her current role. As a former bank compliance officer, Diane has extensive experience and knowledge in both auditing and monitoring financial institutions for federal banking regulations. Diane served as the Assistant Regional Director for the Mid-Atlantic region, with responsibility for a team of consumer compliance professionals responsible for consumer compliance monitoring and BSA independent audits. Diane holds a bachelor's in Legal Studies from the University of Maryland.



#### FINANCIAL EXPERTISE

- Federal Consumer Protection Laws
- Development of Training Webinar & Seminars
- Development of Custom Regulatory Training
- Analysis of Consumer Regulations
- Regulatory Compliance Reviews
- Risk Assessment Development



#### CAPCO HIGHLIGHTS

- Develop and deliver training on federal consumer protection regulations
- Manage a team of federal regulatory compliance training developers and instructors
- Develop processes and procedures to streamline webinar/seminar pipeline material



#### EDUCATION / CERTIFICATION

- Bachelor's Degree in Legal Studies from the University of Maryland
- Master's Degree in Finance and Financial Management Services from the University of Maryland

### RELEVANT EXPERIENCE

#### Federal Banking Examination Agency

- Project manager and senior instructor. Manages client expectations and deliverables
- Develop and deliver training on all federal consumer protection regulations and coordinates testing for examiner commissioning process

#### Custom Instructor Development/Delivery

- Manage custom instructor lead training relationships
- Manage client expectations and deliverables
- Develop and deliver training in accordance with regulatory mandates